

**UTILITY
PATENT APPLICATION
TRANSMITTAL**

Attorney Docket No. 03705.0002.NPUS00

First Inventor LABERGE

Title Contextual Index Based Information Soliciting Retrieval and Self-
Updating Management Systems and Methods

Express Mail Label No.

(Only for new nonprovisional applications under 37 C.F.R. 1.53(b))

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. ☐ Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)
2. ☐ Applicant claims small entity status.
See 37 CFR 1.27.
3. ☒ Specification [Total Pages 22]
(preferred arrangement set forth below)
- Descriptive title of the invention
 - Cross References to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to sequence listing, a table, or a computer program listing appendix
 - Background of the invention
 - Brief Summary of the invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
4. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets 10]
5. Oath or Declaration [Total Pages]
- a. ☐ Newly executed (original or copy)
- b. ☐ Copy from a prior application (37 CFR 1.63 (d))
(for a continuation/divisional with Box 18 completed)
- i. ☐ **DELETION OF INVENTOR(S)**
Signed statement attached deleting inventor(s)
named in the prior application, see 37 CFR
1.63(d)(2) and 1.33(b).
6. ☐ Application Data Sheet. See 37 CFR 1.76

ADDRESS TO:Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

7. ☐ CD-ROM or CD-R in duplicate, large table or
Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)
- a. ☐ Computer Readable Form (CRF)
- b. Specification Sequence Listing on:
- i. ☐ CD-ROM or CD-R (2 copies); or
- ii. ☐ paper
- c. ☐ Statements verifying identity of above copies

ACCOMPANYING APPLICATIONS PARTS

9. ☐ Assignment Papers (cover sheet & document(s))
10. ☐ 37 C.F.R. §3.73(b) Statement ☐ Power of
(when there is an assignee) Attorney
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure ☐ Copies of IDS
Statement (IDS)/PTO-1449 Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)
16. ☐ Request and Certification under 35 U.S.C. 122
(b)(2)(B)(i). Applicant must attach form PTO/SB/35
or its equivalent.
17. ☐ Other:

18. If a **CONTINUING APPLICATION**, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP)

Prior application information: Examiner _____

of prior application No: _____ /
Group / Art Unit:

For **CONTINUATION** or **DIVISIONAL APPS** only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

17. CORRESPONDENCE ADDRESS

☒ Customer Number or Bar Code Label 22930 or ☐ Correspondence address below
(Insert Customer No. or Attach bar code label here)


Name
Howrey Simon Arnold & White, LLP

Address
Box 34
1299 Pennsylvania Avenue, N.W.

City Washington State DC Zip Code 20004

Country USA Telephone 202-783-0800 Fax 202-383-6610

Name (Print/Type) Michael J. Bell/Michael J. Stimson Registration No. (Attorney/Agent) 39,604/45,429

Signature  Date November 26, 2001

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

HOWREY SIMON ARNOLD & WHITE, LLP

Box No. 34

1299 Pennsylvania Avenue, N.W.

Washington, D.C. 20004-2402

(202) 783-0800

Attorney Docket No. 03705.0002.NPUS00

COMMISSIONER FOR PATENTS
Washington, D.C. 20231

Sir:

Transmitted herewith for filing is the patent application of

Inventors: Philippe LABERGE

For: **Contextual Index Based Information Soliciting Retrieval and Self-Updating Management Systems and Methods**

Enclosed are:

xx 10 sheets of informal drawings.

- An assignment of the invention to _____

- Form PTO-1595.

- A certified copy of a _____ application.

- An associate power of attorney.

- A verified statement to establish small entity status under 37 CFR 1.9 and 37 CFR 1.27.

- Executed Combined Declaration and Power of Attorney for Patent Application

- Executed Declaration for Patent Application.

The filing fee has been calculated as shown below:

(Col. 1)		(Col. 2)	SMALL ENTITY		OR	OTHER THAN A SMALL ENTITY	
FOR	NO. FILED	NO. EXTRA	RATE	FEE		RATE	FEE
BASIC FEE				\$ 370.00	OR		\$ 740.00
TOTAL CLAIMS	20 -20 =	0	x 9 =		OR	x 18 =	
INDEP. CLAIMS	6 -3 =	3	x 42 =	126.00	OR	x 84 =	
<input type="checkbox"/> MULTIPLE DEPENDENT CLAIM PRESENTED			+ 140 =		OR	+ 280 =	
			TOTAL	\$496.00	OR	TOTAL	

*If the difference in Col. 1 is less than zero, enter "0" in Col. 2

- Please charge my Deposit Account No. 08-3038 in the amount of \$ _____. A duplicate copy of this sheet is attached.

- Please charge my credit card in the amount of \$ _____. A form PTO-2038, Credit Card Payment Form is attached in duplicate.

xx A check in the amount of \$496.00 to cover the basic filing fee and excess claims fee is enclosed.

xx The U.S. Patent and Trademark Office is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 08-3038 referencing docket number 03705.0002.NPUS00. A duplicate copy of this sheet is attached.

xx Any additional filing fees required under 37 CFR 1.16.

xx Any patent application processing fees under 37 CFR 1.17.

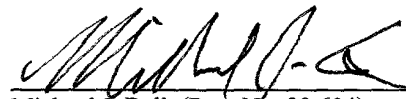
- The U.S. Patent and Trademark Office is hereby authorized to charge payment of the following fees during the pendency of this application or credit any overpayment to Deposit Account No. 08-3038 referencing docket number *. A duplicate copy of this sheet is enclosed.

- Any patent application processing fees under 37 CFR 1.17

- The issue fee set in 37 CFR 1.18 at or before mailing of the Notice of Allowance, pursuant to 37 CFR 1.311(b).

- Any filing fees under 37 CFR 1.16 for presentation of extra claims.

Date November 26, 2001


Michael J. Bell (Reg. No. 39,604)
Michael J. Stimson (Reg. No. 45,429)



1299 PENNSYLVANIA AVE.,
WASHINGTON, DC 20004-2
PHONE 202.783.0800
FAX 202.383.6610
A LIMITED LIABILITY PARTNERSHIP

November 26, 2001

Box Patent Application

Commissioner for Patents
Washington, D.C. 20231

Re: U.S. Non-Provisional Utility Patent Application
Appl. No. To be assigned; Filed: November 26, 2001
For: **Contextual Index Based Information Soliciting Retrieval and Self-
Updating Management Systems and Methods**
Inventor: Philippe LABERGE
Our Ref: 03705.0002.NPUS00

Sir:

The following documents are forwarded herewith for appropriate action by the U.S.
Patent and Trademark Office:

1. Utility Patent Application Transmittal Form PTO/SB/05;
2. U.S. Utility Patent Application entitled: **Contextual Index Based Information
Soliciting Retrieval and Self-Updating Management Systems and Methods**

and naming as inventor: Philippe LABERGE

the application consisting of:

a. A specification containing:

- (i) 15 pages of description prior to the claims;
- (ii) 6 pages of claims (20 claims);
- (iii) a one (1) page abstract;

Commissioner for Patents

November 26, 2001

Page 2

- b. 10 sheets of drawings: (Figures 1-6, 7A, 7B, 8-9);
3. Form PTO-1082;
4. Two (2) return postcards; and
5. Our check No. 004232 for \$496.00 to cover:

\$370.00 Filing fee for patent application;

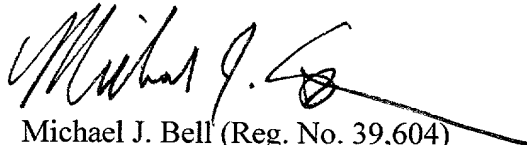
\$126.00 Fee for excess claims.

It is respectfully requested that, of the two attached postcards, one be stamped with the filing date of these documents and returned to our courier, and the other, prepaid postcard, be stamped with the filing date and unofficial application number and returned as soon as possible.

This patent application is being submitted under 37 C.F.R. § 1.53(b) without Declaration.

The U.S. Patent and Trademark Office is hereby authorized to charge any fee deficiency, or credit any overpayment, to our Deposit Account No. 08-3038 referencing docket number 03705.0002.NPUS00. A duplicate copy of this letter is enclosed.

Respectfully submitted,



Michael J. Bell (Reg. No. 39,604)

Michael J. Stimson (Reg. No. 45,429)

Enclosures

RECEIVED NOV 26 2001